

**ENDOLUMINAL THERAPIES FOR
GASTROESOPHAGEAL REFLUX DISEASE, OBESITY
AND BARRETT'S ESOPHAGUS**

Development of a new endoluminal device and a technique

Ph.D. Thesis

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1. INTRODUCTION

The number of patients with gastroesophageal reflux disease (GERD) as well as the prevalence of obesity and Barrett's esophagus have dramatically increased in the last two decades particularly in the Western world. This has generated an extensive research and it led to a better understanding of the pathophysiology of these conditions; however, their appropriate management continues to be debated. New anti-secretory medications, laparoscopic surgical techniques and novel endoluminal devices have been introduced. The outcomes are encouraging but the costs continue to be high.

1.1 Physiology of GERD

The lower esophageal sphincter (LES) and the geometric profile of the cardia (the angle of His) are factors to prevent gastroesophageal reflux (GER) and are the targets of surgical and endoluminal GERD procedures. A decrease in pressure and/or the overall length or just the length of the abdominal segment of the LES predisposes to reflux, as it decreases the resistance against the high pressure gastric contents. In patients with severe GERD, the LES or the "high-pressure zone" is virtually nonexistent or greatly reduced. At a critical length of 1-2 cm intraabdominal LES, the LESP drops acutely and GER occurs. Additionally, alterations in neuro regulation of the LES may lead to inappropriate transient LES relaxations (tLESR) through vagal afferent fibers that are terminated in specialized intra-ganglionic laminar endings (IGLEs) within the muscle fibers at the cardia and fundus.

1.2 Management of GERD

The treatment of GERD is individualized depending on the patient's co-morbidities, symptom severity, response to medication and physiologic test results. The treatment spectrum is wide; from simple life style changes to Roux-en-Y gastric bypass.

Intensive proton pump inhibitor (PPI) therapy in generally has a high success rate; however, it has no effect on alkaline reflux and the underlying anatomical defects.

Anti-reflux surgery is recommended for patients with refractory or complicated GERD and provides excellent symptom control in 85%-90% of cases. Nevertheless, laparoscopic anti-reflux surgery (LARS) requires general anesthesia, hospitalization, it is expensive and it is associated with 3%-5% failure rate as well as post-operative morbidity and even mortality.

Endoluminal intervention for GERD is a relatively new and promising concept. In light of the above described pathophysiologic factors, endoscopic therapies should prevent reflux in one or more of the following ways; 1. alter the compliance of the cardia and prevent tLES shortening/relaxation; 2. increase baseline LES tone or, 3. increase baseline LES

length. The endoscopic anti-reflux procedures published to date can be categorized into three groups: (1) ablation, (2) injection or implantation, (3) fixation.

The basis of **ablation therapy** is muscular hypertrophy, fibrosis and neurolysis at the level of the lower esophageal sphincter and gastric cardia by means of radio frequency energy. The *Stretta* procedure showed only 30-40 % the pH normalization rate at 12 month follow up.

The goal of **injection therapies** is to deliver a biologically inert, injectable substance into different depths of the LES region in order to increase the LESP. *Enteryx*, an ethylene vinyl alcohol copolymer with tantalum dissolved in dimethyl sulfide. It is injected into the muscularis propria of the LES. The *Gatekeeper* is a dehydrated hydrogel prosthesis implanted into the submucosa at the level of LES. The *Durasphere* is a sterile, biocompatible injectable bulking agent composed of pyrolytic carbon coated graphite beads containing zirconium oxide, suspended in a water-based, absorbable polysaccharide carrier gel and it is injected into the submucosa within 1 cm of the Z-line. The results are discouraging as only about 40% pH normalization rates, early device expellation and serious adverse events were reported.

Endoluminal fixation techniques are based on intraluminal apposition of tissue by using either staples, suture fasteners or sutures. The procedures are visualized by use of commercially available or by specially developed endoscopes. The *EndoCinch*[®] device was originally developed to create a full thickness intussusception at the gastroesophageal junction (GEJ). The sewing capsule is attached to the tip of the gastroscope. Figure of eight sutures are created with a straight needle and then tightened by using plastic anchors. Results of multicenter trials demonstrated only 39.7% pH normalization rate at 6 months follow up as the tissue folds in lack of robust scar tissue were lasting a short time. The *NDO Plicator system* was developed to create and fixate a gastric plication below the GEJ in the anterior cardia with serosa to serosa apposition. The system consists of a plicator instrument, a helical shaped “corkscrew” tissue retractor and a pretied suture insert. The procedure is done under direct visualization by inserting a 6 mm flexible endoscope through the device. A prospective multicenter and sham controlled randomized trials showed distal esophageal acid normalization occurred in only 23% to 30% after one year post-procedure follow-up. The *EsophyX* device is used to increase the competency of the anti-reflux barrier by restoring the angle of His. A valve is created at the GEJ by delivering multiple full thicknesses, non-resorbable polypropylene fasteners. The end result is an antero-lateral, 200-300 °, 3-5 cm long partial fundoplication. Post procedural distal esophageal pH normalization was seen in only 37% of patients at one year follow up. The *Medigus SRS system* is a special 15 mm thick endoscope that combines a surgical stapler, video camera and sonar to create a 180° anterior fundoplication. The tip of the endoscope retroflexes to the endoscope’s rigid 6 cm long segment and bring the proximal gastric wall to the lower anterior esophagus, 2-3 cm above the GEJ. Tissue fixation is achieved by firing a cartridge of 5 staplers from the rigid segment of the endoscope.

1.3 Physiology of obesity

The pathophysiology of obesity is complex as it is influenced by environmental, behavioral, genetic, endocrine and neurotransmitter factors. Although obesity is simply a result of an imbalance between energy intake and expenditure, the various combinations of these factors results in heterogeneous clinical manifestations of obesity. Recent research implicates that besides of gene-diet interactions, environmental and social-behavioral risk factors including poor quality nutrients, chronic stress, pre-and postpartum environment, sedentary lifestyle and exposure to chemical or pharmaceutical agents, such as antipsychotics, antidepressants or corticosteroids are playing also an important role.

1.4 Management of obesity

As our knowledge of the pathophysiology of obesity increases, it is becoming clear that the treatment of obesity is complex and must be individualized. Present treatment options range from life style change and dieting to bariatric surgery.

The pharmaceutical options such as inhibitors of intestinal fat absorption, sympathomimetic agents that suppress appetite, increase satiety or thermogenesis, and antagonists of the endocannabinoid system are usually non effective because the psychological, environmental and socioeconomic circumstances are usually not optimal.

The spectrum of bariatric surgery is wide including adjustable gastric banding, sleeve gastrectomy, jejunioileal bypass, duodenal switch biliopancreatic bypass and Roux-en-Y gastric bypass. The complication and cost of bariatric surgery has decreased, however, surgery is available only for a small part of the morbidly obese population. Despite the improved results a large proportion of patients still resist having operative intervention.

An effort to develop newer and less invasive techniques for the obese population is imperative. Endoluminal management of obesity is challenging as it must be safe, effective, durable and cost effective. The approach still is in its infancy but holds great promise for providing presurgical weight loss, postsurgical revisions and even primary intervention. The current and emerging endoscopic devices for obesity are numerous and can be categorized as (1) space occupying devices, (2) transoral endoluminal stapling or suturing devices, (3) prosthetic gastric/duodenal sleeves, (4) miscellaneous.

Space occupying therapy is the most preferred endoscopic bariatric procedure worldwide. This popularity is based on its relative safety and low price compared to other techniques. Intra-gastric balloon therapy related weight loss is due to mechanical and physiological effects; however the durability of weight loss continues to be a pitfall. The most frequent device related complications are GERD and consequent esophagitis, nausea or vomiting which usually responds to medication. They may have a role as a bridge to bariatric surgery to reduce perioperative complications in morbid obese population.

Complex platforms for ***transoral endoluminal stapling or suturing*** are designed to reduce gastric volume or to restrict gastro-jejunal stomas. These devices deliver sutures, tissue anchors, fasteners or even staplers in order to preserve the new geometry of the stomach. The *Incisionless Operating Platform (IOP)* is used for transluminal, endoluminal or single incision surgery. For bariatric patients it is intended for either primary or revisional procedures. The IOP contains 4 channels, one for a flexible 4.9 mm endoscope for visualization and the other 3 for tissue manipulation. The specially designed tissue anchors provide durable tissue approximation because the holding force is widely distributed. This device showed about 30% excess weight loss (EWL) in patients after failed gastric bypass surgery. The *StomaphyX* is a single-use device, designed to create large gastric tissue folds. A regular gastroscope is used through the device for direct visualization. Tissue is drawn into the distal part of the device and five to six gastric folds are created and stabilized one by one after delivery of non-resorbable polypropylene fasteners. The *TOGA system* is an endoscopic stapling device to create a gastric sleeve parallel to the lesser curvature. The procedure is performed under direct visualization as a gastroscope can be advanced through the device and retroflexed. The stapler line engages the anterior and posterior gastric walls. Six month follow up showed 45% EWL. In summary, endoluminal suturing and stapling techniques are seemed to be safe and have demonstrated good to moderate weight loss on short term, however long term durability is related to sufficient scar tissue formation.

Prosthetic sleeves are tube-like plastic devices delivered and secured endoscopically in the proximal GI tract to restrict absorption of nutrients in the small intestine and/or exclude the stomach from the digestive process. The main advantage of the procedure that it does not alter permanently the anatomy. Its potential disadvantages are difficulty in securing the device with the consequent potential of migration and small bowel obstruction. The *Valen Tx* gastric sleeve is designed to mimic the effect of the Roux-en-Y gastric bypass. The device is attached by transmural anchors to the GEJ, and extends through the stomach and into the distal duodenum or proximal jejunum. The length of the sleeve is variable depending on the therapeutic goal. The *Endobarrier* is a 60 cm long, flexible sheath composed of a nutrient-impermeable fluoropolymer that is deployed in the duodenal bulb and extends to the jejunum. The device prevents nutrient absorption and mixing with digestive enzymes, mimicking one of the components of the RNY gastric bypass. Short term follow up results show about 45% EWL and significant decrease inHbA1c% was also achieved.

Other therapies have been also investigated. The bariatric effect of *electrical stimulation* is based on a series of low-energy electrical impulses delivered to the smooth muscle of the stomach intended to create a feeling of fullness or gastroparesis. Localized *radiofrequency ablation* of gastric tissue may have beneficial effects on weight loss as structural and functional changes may happen that lead to decreased appetite and consequent weight loss.

1.5 Endoluminal therapies for Barrett's esophagus

Persistent exposure of gastric content to esophageal mucosa creates an abnormal environment where after a cellular damage of the stratified squamous epithelium, intestinal metaplasia can develop. This is a well-studied premalignant condition of esophageal adenocarcinoma. In the era of minimally invasive procedures the treatment of Barrett's esophagus and early mucosal adenocarcinomas has been changed. Different endoscopic treatment modalities for mucosal destruction are available such as thermal, photodynamic, radiofrequency or endoscopic mucosal removal therapy.

Thermal therapies result in destruction of columnar esophageal epithelium achieved by administration of heat form electrocoagulation, a heater probe, neodymium-doped yttrium aluminum garnet laser or argon beam plasma. After elimination of esophageal mucosa regrowth of normal squamous lining occurs. Heat depth penetration is not well controlled and as a consequence the procedure is not devoid of complications.

Photodynamic therapy utilizes a photosensitizing drug and laser light. As a result, singlet oxygen is generated which causes irreversible oxidation of essential cellular components. The unquestionable disadvantage of this technique is a prolonged general photosensitivity.

Radiofrequency ablation is a relatively new therapeutic method. Energy from a controlled radiofrequency source is applied to the Barrett's epithelium using a balloon catheter or a wired paddle and causes complete destruction beyond the lamina propria. The advantage of this procedure is that the depth of epithelial damage is better controlled in contrast to photodynamic therapy, but histological assessment is not possible.

The major advantage of **endoscopic mucosal removal** is that pathological examination after tissue removal is possible. Endoscopic mucosal resection (EMR) and endoscopic submucosal dissection (ESD) were developed to remove superficial cancerous mucosa from the gastrointestinal tract. Endoscopic submucosal dissection is more often used to remove gastric mucosal lesions as manipulation in the thin walled esophagus carries more risk. Both techniques are labor intense and have a long learning curve.

2. OVERALL AIMS OF THE STUDY

In the last decades extensive innovational effort has been addressed to endoluminal GERD and obesity therapies. Majority of these new devices have failed to demonstrate long-term efficacy and/or safety mostly due to the complex anatomy of the GEJ area and pathophysiology of these diseases. Data from previous studies suggest that mechanical changes to restore the angle of His and LES pressure for GERD and restrictive bariatric techniques for obesity may have the greatest success. However, these techniques often fail due to the weakness of the fixation method.

The overall aim of the study was to develop a device and a procedure to create effective and durable gastroplasty to treat GERD and also to create a small proximal gastric pouch and restricted pouch outlet for obese patients. Our principal hypothesis was that gastric mucosal excision followed by full thickness suture placement is feasible, safe and provides long lasting tissue apposition and surgical effect. We also investigated the possibility to safely remove esophageal mucosa for Barrett's esophagus by using our modified device. The aims of our work were:

To develop and test a complex device that excises gastric mucosa and places full thickness sutures in one. We performed ex vivo and in vivo experiments to optimize device characteristics and to develop the operative technique for both GERD and obesity.

To demonstrate feasibility of mucosal excision and full-thickness suture apposition of the excision beds at the gastroesophageal junction by using a new generation of devices. We measured the GEJ compliance in a survival canine study and determined the durability of the restrictive gastric pouch outlet in the obesity model. Histologic examination was also performed to visualize tissue healing and scar formation.

To understand more how to augment scar tissue formation in the submucosa for more durable gastroplasties. We tested different hypertonic solutions in survival swine experiments.

To evaluate the safety of the endoluminal gastroplasty procedure for GERD and obesity in a human pilot trial. We studied the effect of the gastroplasty procedure on symptom scores, quality of life, antireflux medication usage and pH monitoring for GERD patients and excess body weight loss for obese patients.

To develop a technique and evaluate the feasibility of strip endoscopic mucosal resection (SEMR) for Barrett's esophagus utilizing a modified gastroplasty excision device.

3. DEVELOPMENT OF THE DEVICE AND A NEW TECHNIQUE

3.1 Background

In the first phase of the study a complex transoral endoscopic device was developed. This was able to excise mucosa from both the GEJ and from the proximal stomach and also to place full thickness sutures into the denuded areas. Two procedures were designed: one to reduce tissue attenuation at the GEJ for GERD; another to create a small proximal gastric pouch to treat obesity. The main focus of our initial laboratory work was feasibility, safety, quality, and reliability of the procedures.

3.2 Methods

The device

The first generation device was a dilator shape instrument with handle, shaft and a distal integrated operating capsule that was capable both to perform mucosal excision and suture placement in one. The device was designed to be used with 5 mm - 6.5 mm diameter flexible pediatric gastroscope that enters into a dedicated channel at the handle and exits the device at the flexible transition segment. This endoscope provided direct visualization for the procedure.

Ex vivo experiments

First ex vivo porcine tissue experiments were conducted on stomachs and esophagi to test feasibility of mucosal excision. Then both device and operative technique refinement were done on human esophagi and stomachs that were harvested from cadavers after organ donation. Histologic sections of the stomach wall were used to determine excision depth and excision overlap safety. Possible complication scenarios such as needle penetration into adjacent tissue were also tested.

In vivo acute experiments

The protocol was approved by the Creighton University Institutional Animal Care and Use Committee under the number (IACUC PN-0785). This in-vivo acute experiments (n=7) were carried out on domestic pigs (*Sus scrofa domestica*, ssp. Large white), weighting between 22.5 and 26.3 kg, focusing on mucosal excision and suture actuation reliability for GERD and obesity procedures.

In vivo survival experiments

For both GERD and obesity procedural efficacy survival studies were performed in baboons (*Papio hamadryas*) at the Southwest Foundation for Biomedical Research (San Antonio, TX, USA (IACUC PN-1161)). Total of 12 animals underwent GERD (n=6) (mean weight 30,4 kg) or obesity (n=6) (mean weight 30,6 kg) procedures. In the case of GERD animals the compliance of the GEJ was preoperatively evaluated. The animals were

fed with a hyper caloric clear liquid diet for 8 weeks. Before euthanasia the compliance of the GEJ as well as the body weight were recorded. After euthanasia gross inspection and histologic examinations were completed.

The procedure

The main steps of the *in vivo* GERD operations were the following: under general anesthesia and endotracheal intubation the device was placed through the mouth into the stomach. A 6 mm pediatric flexible endoscope (FG-100 RE, Fujinon, Tokyo, Japan) was introduced through the dedicated channel of the device for direct procedural visualization. The device was positioned at the greater curvature and three cycles of mucosal excision and suturing were carried out after the submucosal space was injected with 15 ml of 1:200.000 adrenalin solution. The sutures were organized then tied under endoscopic visualization by using a knotting device.

The obesity procedure consisted of 3 overlapping excision-suturing cycles on the greater curvature side to create a vertical gastropasty line to form a neo-esophagus with a small gastric pouch and restrictive outlet along the lesser curvature.

3.3 Results

Ex vivo

Total of 104 excisions and 55 suture actuations were performed. The size of the operative capsule trough and the depth of the excision blade provided reliable mucosal excision; however, full thickness injury occurred in 3 (2.9%) cases. The suture penetration depth was accurate but suture actuation reliability was poor as in 8 (14,5%) cases malfunctions occurred. Adjacent tissue suture entrapment experiments showed safety of full thickness suturing. Based on these results injection needle positions and suturing mechanism of the device were modified.

In vivo

During the *in vivo* animal studies total of 82 excision-suturing cycles were carried out with the modified device. There was no major bleeding. In the survival obesity group 1 stomach wall perforation occurred that was successfully closed endoluminal using the suturing device, and the animal was survived without incident. The mean operation times for the survival GERD and obesity groups were 85 min (60-110 min) and 289 min (258-390 min) respectively. The mean estimated blood loss in case of the survival GERD animals was 13 cc (5-50 cc) and 31 cc (25-50 cc) in the obesity group. The overall suturing depth accuracy was low as only 65% of the sutures were full thickness resulting in satisfactory stomach wall apposition and scar tissue formation. Total of 3 (25%) from the survival procedures were considered to be satisfactory. Postoperative bleeding was noted in one of 12 survival animals with a single melanotic stool being passed. The mean weight change was 1,6 kg and 0,3 kg in the obesity and GERD groups respectively. After euthanasia no injury was found in the abdominal cavity in any of the 19 animals.

3.4 Discussion

With the first laboratory results from ex vivo studies we demonstrated that gastric mucosal excision and suturing is feasible when using the first prototypes of the excision-suturing device.

The in vivo animal experiments showed that the transoral endoscopic gastroplasty device is generally safe and the procedure was technically feasible with no 60-day complications or mortality. The survival animal experiments revealed inconsistent size mucosal excisions and poor suture penetration depth with consequent high procedural unsuccess rate. It was also demonstrated that the three steps obesity gastroplasty procedure required significantly more effort to accomplish than acceptable.

Our results suggested that larger excisions first, followed by suturing with a separate, longer trough device would obtain more consistent full thickness suture penetration and a durable gastroplasty. Changes in the obesity procedure to reduce operation time and failure rate were also necessary.

4. SAFETY AND FEASIBILITY CANINE STUDY

4.1 Background

Data from the first survival study revealed that modifications on both the device and procedure steps are necessary in order to provide a safe, effective and relatively easy outpatient procedure in the future. To improve the procedure two separate devices were created: one for mucosal excision and another one for suturing. The gastroplasty procedure for obesity had been reduced in complexity as well. This survival canine study was designed to demonstrate safety, feasibility and efficacy of the new devices and the new gastroplasty techniques.

4.2 Methods

The new gastroplasty system

The excision and suturing devices were similar in construction each having an operational distal tip, flexible transition piece, 60 Fr flexible shaft, dedicated 5-6 mm endoscope channel and handle. The trough of the excision device was wider and longer comparing to the previous combined excision-suturing device. The trough of the suturing device was also longer and operated two circular needles 1 cm apart, each connected to a separate 2.0 Prolene suture.

Measurement of GEJ compliance

For the GERD procedure the Barostat device (G & J Electronics Inc., Toronto, Ontario, Canada) was used to measure compliance of the GEJ pre and post-procedure. The Barostat is a specially designed instrument used to maintain constant pressure in a closed chamber by means of a pneumatic pump. This allows measurements of the volume of the studied organ at different pressures.

Animals

A total of seven male domestic dogs (*Canis lupus familiaris*), weight ranging from 16 kg to 17.5 kg, were used for the study. The protocol was approved by the Creighton University Institutional Animal Care and Use Committee under the number (IACUC PN-0813).

Procedure

Four dogs underwent the GERD procedure and three the obesity. The animals first were anesthetized with intravenous propofol, then endotracheal intubated, placed in the right lateral decubitus position and maintained under general anesthesia with 2% Isoflurane. The GERD procedure began with the compliance assessment of the GEJ by using the Barostat. Then the excision device was introduced and a 6 mm videoendoscope (Fujunon EG-470N5) was inserted through a dedicated channel of the device for visualization. The

proximal gastric mucosa was targeted just below the GEJ on the greater curvature side and lifted from the muscularis propria by injecting 14-21 cc 1:100,000 adrenaline solution with 40% Dextrose. The mucosa was then excised and after removed. The excision procedure was repeated both on the anterior and posterior side of the first excision creating three, approximately 20 mm by 45mm adjacent excision beds. The excision device was then withdrawn.

The suturing device was inserted and again the 6 mm endoscope was used for visualization. The proximal aspects of the two lateral excision beds were captured and full thickness sutures were placed. The device was then withdrawn and the sutures were reloaded. The procedure was repeated in the distal aspect of the excision beds for a total of 4 sutures. The sutures were then organized, tied and cut by using the knotter device.

The technique for obesity was similar but the gastroplasty was placed 2 cm below the squamocolumnar junction and only two - but further separated - sutures were placed through the anterior and posterior excisions to create a restrictive procedure.

Following the procedure, dogs received 500 ml of subcutaneous physiologic saline and were kept without oral intake for 24 hours. From the first postoperative day to euthanasia they received a high protein high calorie pureed diet three times a day.

All dogs were survived for 8 weeks. The end term endoscopies and Barostat procedures for the GERD dogs were performed under general anesthesia and the animals were euthanized with IV. sodium pentobarbital. The stomachs and distal esophagi were explanted, examined, photographed and sectioned for histologic examination.

4.3 Results

There were no intra or postoperative complications with all dogs surviving uneventfully. The mean operative time for GERD and obesity procedures were 197 min (155-240 min) and 158 min (145-180 min) respectively with an average amount of blood loss of 15 cc (10-25 cc) and 17 cc (12-30cc) respectively. The GERD dogs lost an average of 0.13 kg and the obesity dogs gained an average of 0.5 kg at 8 weeks. No bleeding was observed, some animals did consume less in the first 10 days post procedure but eventually regained their weight. There was no evidence of swallowing problems such as increased salivation, retching or regurgitation. At end term endoscopy all 7 animals had a gastroplasty in place and there was no injury to the esophagus or stomach. The three obesity dogs had an average 8,6 mm gastric pouch outlet. The 4 GERD dogs had an average full insufflation gap to a 10 mm endoscope of 4 mm.

Barostat tracings at baseline as compared to the post procedure 8 week study showed satisfactory results with a compliance decrease similar to that seen after Nissen fundoplication.

At autopsy there was no sign of perforation or injury of surrounding organs in any dogs. External fibrosis at the gastroesophageal junction was noted in 6 of the 7 animals. Nineteen of the 20 knotters placed were present.

On histologic examination cicatrix formation was present within the submucosa, however it was full thickness only in some areas and circumferential on the average 42% in the obesity dogs.

4.4 Discussion

We performed endoluminal proximal gastroplasty as an antireflux procedure and created a small proximal gastric pouch with a restricted outlet for obesity using the second generation of our gastroplasty system on total of 7 dogs. This study demonstrated that one step endoscopic gastric mucosal excision and suture placement at and below the GEJ is feasible and safe. All animals survived without complications. A significant decrease in GEJ compliance was seen in each animal after the GERD procedure. Good proximal gastric pouch outlet restriction was achieved after the obesity procedure. Scar tissue formation was satisfactory but more fibrosis on the lesser curvature was needed for a long lasting obesity procedure. An injectable agent that generates more robust scarring would contribute to durability.

5. GASTRIC SUBMUCOSAL FIBROSIS GENERATION

5.1 Background

Current bariatric and antireflux endoscopic treatment options that are using sutures, staples or fasteners have demonstrated encouraging results but not competitive with existing surgical procedures.

We hypothesized that significant submucosal fibrosis at the gastroesophageal junction is essential for better and more durable results after the sutured gastroplasty. Submucosal fibrosis rather than muscle or panmural fibrosis is also critical to success as the latter two can create excessive luminal compromise that would not respond to mechanical dilation of any kind.

Submucosal injection of hypertonic solutions can cause acute mucosal erosion with degradation of epithelial glands and congestion of capillary blood vessels on the day of injection. Such tissue damage may create mucosal erosion with fibrosis of the submucosal layer resulting in permanent fibrotic deposition with luminal deformation and decreased tissue compliance. The aim of this study was to determine if gastric submucosal injections of hypertonic saline and dextrose solutions will produce significant submucosal fibrosis.

5.2 Methods

Preliminary study

The protocol was approved by the Creighton University Institutional Animal Care and Use Committee under the number (IACUC MCL 0976). This preliminary pilot study involving two female miniature swine (*Sus scrofa domesticus*) (21.4 and 24.4 kg) was conducted to determine if 4.05% hypertonic saline (HTS) or 50% dextrose in water solution (D50W) forms more submucosal fibrosis. We also investigated what volume of these solutions was optimal for fibrosis deposition.

With the minipig under endotracheal general anesthesia a midline incision was made. A 7 cm gastrotomy along the greater curvature was created. The gastric wall submucosa was injected using either a HTS solution with 1:1000 epinephrine or D50W solution with 1:1000 epinephrine. Three, 6, or 9 ccs of solution were injected at pre-designated sites using a modified rigid gastroplasty excision device prototype.

For the first pig 3, 6 or 9 cc of 4.05% HTS with adrenaline was used and in case of the second pig 3, 6, or 9cc of D50W with adrenaline. At two sites in pig #1 9cc of 4.05% HTS was placed followed by mucosal excision. At another site in pig #1 normal saline with 1:1000 adrenaline was injected followed by mucosal excision as a control. The same was followed in pig #2 using D50W. The injection sites were marked on the serosal surface.

The gastrotomy was closed and the animals recovered without incident. The animals were survived for 8 weeks and received a normal diet. Following the euthanasia each of the stomachs and distal esophagi were explanted. All 18 intervention sites were individually cut from the stomachs according to the serosal marks.

The specimens were then kept in 10% formalin. Eighteen hours later the tissue was cut in 3 mm thick pieces. Each 3 mm wide block was cut in each millimeter using a microtome resulting in three sections per block. The amount and level of fibrosis and other histologic features were recorded for each section. An H & E stain was used for the two outer sections of each block and a Trichrome stain for the middle section.

A hypertonic saline study

Based on the results of the preliminary pilot study, a further experiment was conducted using 3 domestic pigs (*Sus scrofa domesticus*). The protocol was approved by the Indiana University Institutional Animal Care and Use Committee under the number (IACUC PN-3696). Each animal underwent a series of gastric submucosal injections of different concentration HTS by using a therapeutic adult flexible endoscope (GIF 140, Olympus, Tokyo, Japan) and endoscopic injection needles. The injections were placed every time in the fundus, body and antrum of the stomach. Each animal received 10 ccs of 4.2% HTS with Methylene Blue injections on three sites of the stomach. On Day 14 each animal was injected with 15 ccs of 5% HTS in three different sites as no ulcers were observed from the initial 4.2% HTS injections. Sites were changed to an untreated area of the stomach in a pre-determined manner. The volume and concentration of HTS was increased to 20 ccs of 7.2% HTS on Day 30 as no animal had a healed ulcer greater than 1 cm in diameter.

Thirty days after the last procedure all 3 pigs were euthanized, the stomachs were explanted and each was opened on the greater curvature side. Using palpation and visual assessment, areas of fibrosis were identified and a corresponding 3-0 silk suture was placed on the serosal side.

The specimens were submerged in 4% formalin for 5 days. The specimens were cut in 3 mm blocks but only one section from each block was examined histologically. The same method of tissue staining, measuring and volume calculation was used as in the preliminary study.

Statistical analysis was performed using a t-test, Mann Whitney and ANOVA with SPSS version 17.0 (SPSS, Inc. Chicago, IL).

5.3 Results

Preliminary pilot animal study

No intra or postoperative complications occurred. Results showed that 3 cc of HTS and D50W caused no fibrosis. The difference in fibrosis volume between 6 and 9 cc of 4.05% HTS and D50W was insignificant ($p=0.683$ and $p=0.750$ respectively). When comparing

6cc and 9 cc injections volumes of each solution the HTS injections caused a larger volume of fibrosis than DW50. (Table 1.)

Table 1. Fibrotic tissue volumes

Injectate volume (cc) \ Agent	3 cc.	6 cc.	9 cc.
HTS	0 mm ³	197 mm ³	138 mm ³
DW50	0,05 mm ³	28,5 mm ³	16,5 mm ³

Areas where injection was combined with mucosal excision showed an average fibrosis volume of 101.5 mm³ (4.05% HTS) and 62.5 mm³ (D50W) but the sample size was too small for statistical analysis. The fibrotic change was within the submucosal layer in 100% of the cases.

Hypertonic saline study

Total of 20 (74%) injection sites (fundus n=6, antrum n=8 and body n=6) had been identified visually and by palpation. From the 9-9 sites of the 4.2% and the 7.2% HTS injections 8-8 could be found, but only 4 of the 5% HTS injection sites could be detected. The average volume of fibrosis from the 4.2%, 5% and 7.2% HTS injection sites were 123 mm³, 178 mm³ and 354 mm³ respectively. However, there was no statistically significant difference in volumes, although there was a strong trend for more fibrosis from 20cc of 7.2% HTS than from 4.2% HTS ($p < 0.07$). Fibrosis tissue was found in 88.9% of the cases within the submucosal layer.

5.4 Discussion

We demonstrated that gastric submucosal injection of hypertonic saline was superior to D50W in submucosal fibrosis induction. Increased concentration and volume of HTS solution appeared to induce more submucosal fibrosis.

The difference in scar formation between different concentration of HTS was, however, not significant possibly because of small sample size. The increase in fibrosis may have been due in part to the larger volume of HTS used and the decreased time to euthanasia, although the maturity of fibrosis was unaltered between survival times. We demonstrated that highly accurate injection and consequent fibrosis can be achieved when using the excision device as in all specimens fibrotic tissue was only seen within the submucosal layer.

Hypertonic saline solution seemed to be optimal to provide a strong complementary line of scar tissue on the lesser curvature for the obesity procedure and more fibrosis for durable GERD gastroplasty.

6. A HUMAN PILOT STUDY

6.1 Background

After successful animal safety and feasibility studies numerous acute animal operations were carried out to refine technical and procedural details. Small device modifications were completed as well. The principal aim of the first human trial was to demonstrate safety and feasibility of the endoluminal gastroplication procedure.

6.2 Methods

The protocol was approved by the Institutional Ethics Committee (IKEB 7255/2013) and the national Office of Health Authorization and Administrative Procedures (35661/2011/OTIG).

Patients

Patients with symptomatic GERD and with obesity over BMI 35 kg/m² were included in the study. Data were collected prospectively and each subject served as their own control. The inclusion and exclusion criteria were:

Inclusion criteria

For GERD patients: symptomatic reflux defined as a heartburn frequency score ≥ 2 , when off medication, with or without erosive esophagitis; patients who were dependent upon PPI medication; documented acid reflux by pH monitoring (pH ≤ 4 for more than 4% for 24 hours following discontinuation of all GERD anti-secretory medications for 7 days), and patients with an HRQL <10 on medication and HRQL >15 off medication.

For obesity: BMI > 35 kg/m² or at least 45 kgs above ideal body weight and history of obesity for at least 5 years.

Exclusion criteria

Pregnancy or intent to become pregnant during study participation; < 18 years of age; patients who were not candidates for general anesthesia or whose medical history classified them as level ASA 3 or higher; grade 2 or higher dysphagia (grade 2 - occasional trouble swallowing - 1 or 2 times per week); grade C or D erosive esophagitis while on medication; significant heart failure or a prosthetic heart valve; history of portal hypertension; previous gastroesophageal surgical procedures; endoscopic GERD therapy and/or thoracic surgical procedures; a disease state that is a general contraindication for an endoscopic procedures; condition which general surgery is contraindicated.

Special exclusion criteria for GERD patients: less than 30mmHg of pressure at any esophageal body level or more than 20% dropped or simultaneous waves; a hiatus hernia $>$

2 cm; if pH monitoring score is greater than 15% total time over pH of 4 or whose DeMeester score is >50. Special exclusion criteria for obesity were: achalasia; systemic lupus erythematosus or other autoimmune disorders and patients who are mentally challenged or emotionally unfit as determined by standard psychological evaluation

Preoperative work up

All patients after the screening process underwent a medical history, physical examination and upper endoscopy. Esophageal manometry and pH monitoring were also performed. For patients with GERD, symptom scoring questionnaire and GERD-HRQL (health related quality of life) evaluation were administered. Obese patients underwent upper endoscopy, physical examination, laboratory testing, IWQOL (Impact of Weight on Quality of Life) questionnaire and standard psychological evaluation.

Device

The excision device had been modified with the tip of the operating capsule flattened and the through had a rhomboid shape for better tissue capture. The suturing device handle was modified as well for easier manipulation.

Procedure

The procedure was carried out with the patient being in right lateral decubitus position under general anesthesia. After upper endoscopy was performed the esophagus was dilated to 60 Fr. We used a cautery snare to place marks along the lesser curvature for orientation.

GERD procedure

The excision device was inserted into the proximal stomach. Through the device dedicated channel a 6 mm videoendoscope (Fujunon EG-470N5) was introduced for procedure visualization and the stomach was insufflated. The device was positioned; the mucosa was captured - by using -500 mmHg vacuum - and held in the trough. A 4.2% hypertonic saline (HTS) solution with 1:100.000 adrenaline solution was injected. After desufflation of the stomach and a short delay for appropriate vasoconstriction the excision blade was actuated. The vacuum was discontinued and the stomach insufflated. The excised mucosal piece was removed. The procedure was repeated two more times for a confluent 3 excision denuded area at the GEJ.

The suturing device was then inserted and its trough positioned on the third excision bed. The mucosa free gastric wall was captured by vacuum. The suture cycle was completed and the device was rotated to the first excision site and the procedure was repeated and the device was removed. The sutures were paired outside the patient's mouth. By endoscopic visualization the sutures were tied using the knotter device. After hemostasis was checked the outlet diameter was measured.

Obesity

In obesity patients the procedure was carried out with small modifications. The excisions were positioned 2 cm distal to the GEJ and the sutures were more separated radially in the

excised area to create a tighter gastric outlet and a small proximal gastric pouch. Before suturing the lesser curvature side was injected with the HTS solution to form a 360° scarred submucosal ring after procedure completion for gastric pouch outlet restriction

Postoperative care

Patients were delivered to the Intensive Care Unit for a 12 hours long observation. A chest x-ray was taken to check for stomach size and free air. Before extubating 8 mg intravenous ondansetron was administered to reduce the possibility of retching and vomiting. On the first postop day a gastrografin swallow study was performed to rule out esophageal or gastric perforation.

Patients were kept on a Cleveland Clinic bariatric liquid diet and were given omeprazole 40mg two times a day for 1 month.

Follow up

Follow up data was recorded by a study nurse on postop day1, 14, and 1, 3, 6, 12, 18 and 24 months after the operation.

6.3 Results

Study population

Fourteen patients were screened and total of 8 were included in the study (5 obese and 3 with GERD). From the 8 patients originally included one obesity patient was excluded after procedural attempt as her anatomy did not allow us to dilate her upper esophageal sphincter safely. In two GERD patients the procedure was incomplete due to device and technical difficulties. These patients were excluded from the study as well. Total of 5 patients underwent a complete procedure and remained for follow up.

Operative data and follow up

There was no intraoperative significant bleeding or perforation encountered. The total procedural times were between 1h 30 minutes and 4h 45 minutes.

Patient #4 (obese): she had no intraoperative complication. Her initially 2 cm hiatal hernia was reduced in size on 6, 12 and 18 month's endoscopy. At 24 month follow-up 67 % EWL plus normalization of her elevated baseline blood pressure were seen.

Patient #5 (obese): she had an uncomplicated procedure. However, on videotape review it was evident that the gastric outlet was 8 mm in diameter after suture tying (ideal would be 6 mm). The patient did not lose weight or experience food restriction at 6 and 12 months, but showed 12% EWL at 24 month follow-up.

Patient #6 (obese): she had a satisfactory intervention without any intraoperative complication. She experienced intermittent dysphagia with decreased frequency up to the 18 month follow-up. Her blood pressure had normalized. She had no other comorbidities. Her EWL was 34% at 24 months.

Patient #7 (obese): she had a complete procedure but vomited repeatedly in the Intensive Care Unit before extubating her. Her initial chest X-ray was unremarkable but 12 hours postoperatively she had free abdominal air under both hemi-diaphragms. Laparoscopy and simultaneous upper endoscopy showed no perforation. A nasogastric tube was left in place, and she recovered uneventfully. However, on day 9 she developed vertigo with repeated vomiting and required re-hospitalization. At 6 month endoscopy her gastroplasty was loose and she had no food restriction. The 18 month endoscopy showed no change and this patient had no weight loss.

Patient #8 (GERD): she had an uneventful operation. Her pre-operative HRQOL was 19 and the DeMeester score was 44. At 6 months her DeMeester score was 16 and at 12 months the HRQOL was 10. pH monitoring was not performed at 12 months due to equipment unavailability. No esophagitis was evident. At 18 month follow-up she remained asymptomatic and was off all anti-secretory medications. At 2 years her DeMeester score normalized and her HRQOL was the lowest (9) since the procedure.

6.4 Discussion

We demonstrated that endoluminal gastroplasty for GERD and obesity is feasible and safe when using our new mucosal excision and suturing system. Initial patient outcomes showed that the procedure has the potential to effectively treat both pathologies.

During the first human study we learned the proper way to insert the devices which was simplified by the use of an adjustable mouth-opener and that the right lateral decubitus position is best for the proximal greater curvature tissue manipulation. Special care to avoid postoperative retching, gagging or vomiting is necessary to prevent tissue separation in the operative area. The submucosal fibrosis, which was created by mucosal excision and injection of hypertonic saline solution, served as a reinforcement to prevent expansion of the gastroplasty.

Twenty four month patient follow-up showed promising results for both GERD and obese patients. Longer patient follow-up and a larger study are necessary to standardize the procedures and prove efficacy.

7. BARRETT'S STRIP ENDOSCOPIC MUCOSAL EXCISION

7.1 Background

Long lasting GERD may result in metaplastic changes of the esophageal mucosa and its removal may be necessary to prevent development of malignancies or to treat in situ superficial carcinomas. Excision of the metaplastic mucosa allows a definitive histologic diagnosis while also potentially curative. The disadvantages of current excisional techniques are that they are labor intensive and have a long learning curve. Based on our experience from the gastroplasty device our intention was to create a flexible instrument to excise and remove esophageal mucosa and muscularis mucosa safely, rapidly and with a low complication rate.

7.2 Methods

The device

The instrument shares the common characteristics with the gastroplasty device as it consisted of a handle and a flexible shaft with an integrated distal excision capsule. The device has a dedicated channel for a standard 4.8 – 5.5 mm diameter flexible endoscope with an opening on the handle and exiting on the proximal edge of the operating tip. The device slides over the endoscope through the oropharynx and into the esophagus.

The procedure

The main steps of the procedures were the follows: first an esophageal cautery mark was placed as a target site prior to excision device introduction. The trough was positioned on the target point by direct endoscopic visualization. After device positioning the endoscope was retracted into the device shaft. After suction was applied the multiple suction ports pulled the mucosa into the capsule. The longitudinal injection needle was incrementally forwarded above the bottom of the trough and a 1:100.000 Adrenaline solution was injected to separate the muscularis mucosa from the muscularis propria thus increasing the “target space” and to provoke hemostasis. After injection the horizontal guillotine blade was advanced with a single motion and the mucosa was resected in a fixed plane. The device was then withdrawn from the esophagus with the specimen within the capsule. This allowed the specimens to be easily orientated for histological analysis.

Ex-vivo experiments

Preliminary ex-vivo studies were carried out with porcine, canine, baboon and human esophagi. Human esophagi were harvested from tissue donor patients with family informed consent under the auspices of the Nebraska Organ Recovery System. These experiments allowed us to determine the correct device characteristics necessary for consistent strip endoscopic esophageal mucosal resection (SEMR).

Additional animal tissues were used to assist in design modifications. Before in-vivo experiments the device was studied using fresh ex-vivo non-fixed human esophagi for completeness of mucosal resection and uniformity of excision depth. The excised human mucosal strips were assessed histologically. The excision depth was microscopically determined in 15 systematically separated locations within all tissue specimens.

In vivo experiments

In vivo experiments were conducted involving three animals (1 canine and 2 pigs). In accordance with the ethical principle of “Reduction” in animal experimentation, animals were included at the end of a different experimental protocol, which received full approval by the Creighton University Institutional Animal Care and Use Committee under the number (IACUC PN-0659 and PN-701). Total of 6 excisions were done to determine device efficacy and safety. In the porcine model, esophagi were myotomized from the gastroesophageal junction to the proximal 1/3 of the esophagus to provide a large enough esophageal lumen for comfortable device manipulation.

7.3 Results

Ex vivo experiments

The device allowed precise localization and positioning with satisfactory excision size and depth. A total of 10 excisions were performed on 5 ex-vivo cadaveric human esophagi. The specimens ranged in size from 3 x 2.5 cm to 2.5 x 2.2 cm. The average thickness of the excised specimens was 0.297 mm with the excision level within the superficial submucosa (Sm1). One hundred and forty seven of 150 examined microscopic fields included the muscularis mucosa.

In vivo experiments

The first non-survival canine and porcine experiments were promising in terms of safety. The device could be introduced without trauma in both canine and porcine models and 6 mucosal excisions were performed without bleeding. The average specimen size was 2,8 cm x 2,3 cm. Target cautery mark localization and accurate capsule placement was proven. No perforations occurred and none of the in vivo esophagi, after removal, showed evidence of excision penetration to the muscularis propria level.

7.4 Discussion

This new flexible endoluminal mucosa excision device fulfilled requirements for a successful endoscopic Barrett’s mucosa excision device. Large mucosal strip excisions, using a fast and cold blade technique, without bleeding and esophageal wall perforation were performed in acute animal experiments. Desired cutting depth and excision size was demonstrated, in 98% of ex vivo cases. This is the first automated endoluminal mucosal strip resection device that allows accurate deep and lateral margins and with relative ease

of use. Further survival experiments and clinical trials will define the role of this device for endoscopic mucosal resection.

8. SUMMARY OF THE WORK

We were focused in our work on develop new techniques and devices for transoral endoluminal treatment of gastroesophageal reflux disease, obesity and - with some technical modifications- Barrett's esophagus.

The treatment of GERD is individualized. The spectrum is wide from simple life style changes to Roux-en-Y gastric bypass. Antisecretories often provide subjective and objective resolution of GERD; however they have no effect on the underlying anatomical defects or on the alkaline reflux. Moreover, 50% of patients continue to exhibit low intra-gastric pH and objective evidence of acid regurgitation when reported complete symptomatic control on PPI therapy. Despite the relative safety of these medications new data has increased concern about the long-term effects and safety of anti-secretory drugs. Thus many patients must commit to other therapy to provide lifelong solution for gastroesophageal reflux. Anti-reflux surgery is recommended for patients with refractory, medication resistant or complicated GERD and provides excellent symptom control in 85%-90% of cases. In the era of laparoscopy the number of antireflux procedures has significantly increased. Notwithstanding of the minimally invasive nature of these interventions they are not devoid from complications. Early or late postoperative complications may prolong hospitalization, alter quality of life or require remedial surgical interventions. Reoperative anti-reflux surgery is a feasible option for patients with recurrent disease, although inferior results with a higher mortality and morbidity compared with primary surgery are seen.

Present choices of weight reduction for the obese population are limited to life style change, adjunct pharmaceutical therapy and bariatric surgery. The spectrum of bariatric surgery is wide and the number of bariatric surgical procedures has significantly increased in the recent past. Although majority of these procedures are performed laparoscopically the complication rate is still not negligible. The cost of bariatric surgery is high and it is available for a small part of the morbidly obese patients. Despite the improved results a large proportion of patients still hesitate having operative intervention.

Advanced endoscopic therapy provides different treatment options for patients with Barrett's metaplasia. Thermal or photodynamic therapy and radiofrequency ablation destroy the columnar epithelium allowing the regrowth of physiologic stratified squamous epithelium. Endoscopic mucosal resection and endoscopic submucosal dissection are other options. However, these techniques carry disadvantages; the formers do not provide tissue for pathologic examination, their durability is questionable and the procedure related complication rate is relatively high. The latters are time consuming and only endoscopists with significant experience are able to perform.

The increasing need for effective, safe, durable and inexpensive procedures dedicated to GERD and obesity resulted in new endoscopic treatment modalities. The numerous different procedures published to date can be categorized as ablative, injection/implantation, fixation, space occupying, transoral stapling, gastric sleeves and others. The idea of endoluminal management of these conditions is relatively new and devices providing long lasting effect have not been developed yet. Based on this new methods and instruments novel treatment options for other pathologies of the upper gastrointestinal tract – such as the Barrett’s esophagus – also can be developed.

We developed a transoral endoscopic flexible devices to excise gastric mucosa and to place full thickness sutures in the excision beds to create an effective gastroplasty. With some device modification we used this technique to excise mucosa from the esophagus for Barrett’s disease.

Radiofrequency ablation and injection of different agents in the LES area has not fulfilled the expectations regarding to long term results in case of GERD. Fixation methods both for GERD and obesity are seem to be more durable but they are still along with high failure rate. We believe that the reason for failure is the lack of strong tissue apposition at the GEJ and the gastric fundus area where multidirectional and significant forces may arise.

In the first phase of our study we developed a multifunctional endoscopic device to excise gastric mucosa and place full thickness sutures in the excision beds creating a gastroplasty. We hypothesized that mucosal excision and apposition of the excision beds are necessary to prevent tissue separation. We placed the gastroplasty at the level of GEJ for GERD and first created a vertical gastroplasty line for obesity forming a neo-esophagus with pouch and restrictive outlet along the lesser curvature.

The in vivo laboratory work with baboons showed gastric mucosal excision feasibility and safety but durability of effect was lacking. From the first animal study we also learned that for ease of device adjustment to gastric tissue as well as for ease of procedure performance changes are required. We understood that a separate excision and suturing device would be favorable to obtain optimal size mucosal excisions and consistent full thickness suture penetration.

In the second phase of the study changes in both the design of the gastroplasty device and in the procedure were done. A separate excision and suturing device with different trough size were developed. These changes resulted in ease of use and accuracy in both excision and suturing. Procedural changes for obesity resulted that the gastroplasty positioning was similar to that of the external gastric band when utilizing the pars flaccida approach.

We performed endoluminal gastroplasty as an antireflux procedure and as a gastric outlet restriction for obesity using the second generation of our gastroplasty system on 7 dogs. This study demonstrated that endoscopic gastric mucosal excision and suture placement at

the GEJ is feasible, safe and easier with this devices. All animals survived without complications. A significant decrease in GEJ compliance was seen in each animal after the GERD procedure. Good gastric pouch outlet restriction was achieved after the obesity procedure. Scar tissue formation after mucosal excision and full thickness suturing was satisfactory, however we assumed that more amount and greater extension of fibrosis may be needed. We believed that an injectable agent that generates more robust scarring would contribute to durability. In the next step of our study we examined different solutions that may fulfill this requirement.

Previous studies demonstrated that results of endoscopic GERD and obesity therapies often fail even in the short term. In many of these therapeutic options the main target site is the GEJ and the subcardial area. This is formed by a complex net of smooth muscle fibers resulting in a highly elastic and stretchable stomach wall where significant forces arise. This anatomy may be responsible for the high recurrence rate of GERD after endoluminal fixation methods. We hypothesized that generation of scar tissue in this area can prevent tissue disintegration to achieve more durable results.

We hypothesized that the use of different hypertonic solutions is suitable for robust scar tissue generation in the submucosal layer. The level of scar tissue generation is critical as panmural fibrosis can be resulted in excessive luminal compromise that may be resistant to dilation of any kind. First we compared 4.2% hypertonic saline and 50% dextrose solutions to find which is more effective in terms of scar formation. Results demonstrated that with submucosal injection of hypertonic saline stronger fibrosis was generated than with hypertonic dextrose. Based on these results we used more concentrated saline solutions in larger volumes. It appeared that more intensive fibrosis after injection of more concentrated saline solution can be achieved.

In the first human mucosal excision and suturing gastroplasty pilot study we performed procedures to treat GERD and to reduce excess weight in obese patients. Total of 8 patients were included. Three with GERD having elevated DeMeester score without hiatal hernia and 4 patients over BMI 35 were included. Endoluminal gastroplasty at the level of LES and in the proximal stomach were created. The system and procedures were proven to be feasible and safe. Patients were followed up for two years by endoscopy and functional testing.

The mid-term results demonstrated that the procedure holds the potential either to rebuild the barrier function of the gastric cardia or to be a restrictive obesity procedure. The key for long term success is the scar tissue generated by mucosal excision and injection of hypertonic saline solution. Technical and procedural refinements are necessary to improve the results and reduce operating time.

We are planning to follow the patients and conduct a larger study to standardize the procedures.

Excision of pathologic mucosa from the esophagus in case of premalignant mucosal changes or in presence of in situ mucosal carcinomas is a treatment option. Based on our experience from the gastroplasty device our intention was to create a flexible instrument to excise and remove esophageal mucosa and muscularis mucosa safely, fast and with low complication rate. Existing other techniques are along with high perforation and stricture rate or require a significantly higher level of endoscopic skill making the procedure operator dependent and time consuming.

We performed mucosal excisions from ex vivo human and in vivo dog and swine esophagi. Desired cutting depth and excision size was demonstrated without perforation. Accurate device positioning was demonstrated with relative ease of use. Further survival experiments and clinical trials will define the role of this device for endoscopic mucosal resection.

New statements from the study

We found that a safe gastric mucosal removal and suture placement for an endoluminal proximal gastroplasty is feasible by using a single transoral device; however in vivo acute and survival animal studies revealed insufficient excision size and poor full-thickness suturing accuracy.

We demonstrated safety and efficacy of the gastroplasty technique for both GERD and obesity by using two separate devices for tissue excision and suturing.

We found that hypertonic saline solution is an effective and safe scar tissue generator when injecting into the gastric submucosa.

We showed safety and feasibility of the sutured gastroplasty after mucosal excisions both for GERD and obesity in humans.

We demonstrated that large esophageal mucosal pieces can be excised safe and with relative ease in a targeted fashion by using a cold blade technique.

This work is the first to demonstrate safety and feasibility of sutured gastroplasty after mucosal excision, suturing and submucosal hypertonic saline injection for GERD and obesity in humans. These findings support to conduct a larger human study to evaluate procedural efficacy and may serve to develop other effective treatment modalities for the endoluminal management of GERD and obesity.

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11. ABBREVIATIONS

BMI	body mass index
ESD	endoscopic submucosal dissection
EMR	endoscopic mucosal resection
EWL	excess weight loss
GEJ	gastroesophageal junction
GER	gastroesophageal reflux
GERD	gastroesophageal reflux disease
GI	gastrointestinal
H2RA	H2 receptor antagonists
HRQL	heartburn related quality of life
HBSS	heartburn symptom score
HTS	hypertonic saline
IWQOL	impact of weight on quality of Life
IGLEs	intra-ganglionic laminar endings
LARS	laparoscopic anti-reflux surgery
LES	lower esophageal sphincter
LESP	lower esophageal sphincter resting pressure
PPI	proton pump inhibitor
RFA	radiofrequency ablation
SEMR	strip endoscopic mucosal resection
tLESR	transient LES relaxations
TIF	transoral incisionless fundoplication